



## Legend

	<ul style="list-style-type: none"> <li>• <b>Basel III:</b> Final rule published or in force.</li> <li>• <b>OTC derivatives:</b> Legislative framework and standards/criteria/requirements (as applicable) in force for over 90% of relevant transactions.</li> <li>• <b>Resolution:</b> Element of resolution regime in the FSB <i>Key Attributes of Effective Resolution Regimes</i> that is implemented / in place. For the powers column, all three of the bank resolution powers (transfer, bail-in and temporary stay) are available.</li> <li>• <b>Compensation:</b> All FSB <i>Principles and Standards for Sound Compensation Practices</i> implemented.</li> <li>• <b>Shadow banking:</b> MMFs – Final implementation measures in force for valuation, liquidity management and (where applicable) stable net asset value (NAV). Securitisation – Final adoption measures taken (and where relevant in force) for implementing an incentive alignment regime and disclosing requirements.</li> </ul>
△	<ul style="list-style-type: none"> <li>• <b>Basel III:</b> Final rule in force, but certain elements (e.g. Basel II or 2.5 provisions, LCR disclosure rules) are not yet finalised.</li> <li>• <b>Compensation:</b> All except a few (3 or less) FSB <i>Principles and Standards for Sound Compensation Practices</i> implemented.</li> <li>• <b>Resolution:</b> Jurisdiction requires recovery or resolution planning for all domestically incorporated banks that could be systemically significant in failure, but applies them only for G-SIB(s) at present.</li> </ul>
	<ul style="list-style-type: none"> <li>• <b>Basel III:</b> Draft regulation published.</li> <li>• <b>OTC derivatives:</b> Regulatory framework being implemented.</li> <li>• <b>Resolution:</b> Element of resolution regime in the FSB <i>Key Attributes of Effective Resolution Regimes</i> that is partially implemented / in place. For the powers column, one or two of the bank resolution powers (transfer, bail-in and temporary stay) are available.</li> <li>• <b>Compensation:</b> FSB <i>Principles and Standards for Sound Compensation Practices</i> partly implemented (more than 3 <i>Principles</i> and/or <i>Standards</i> have not yet been implemented)</li> <li>• <b>Shadow banking:</b> MMFs – Draft/final implementation measures published or partly in force for valuation, liquidity management and (where applicable) stable NAV. Securitisation – Draft/final adoption measures published or partly in force for implementing an incentive alignment regime and disclosing requirements.</li> </ul>
	<ul style="list-style-type: none"> <li>• <b>Basel III:</b> Draft regulation not published (light red colour indicates deadline for reform not lapsed).</li> <li>• <b>OTC derivatives:</b> No regulatory framework in place (dark red colour indicates that deadline for reform has lapsed).</li> <li>• <b>Resolution:</b> Element of resolution regime in the FSB <i>Key Attributes of Effective Resolution Regimes</i> that is not implemented / in place. For the powers column, none of the three bank resolution powers (transfer, bail-in and temporary stay) are available.</li> <li>• <b>Shadow banking:</b> MMFs – Draft implementation measures not published for valuation, liquidity management and (where applicable) stable NAV. Securitisation – Draft adoption measures not published for implementing an incentive alignment regime and disclosing requirements.</li> <li>• <b>Basel III:</b> Requirements reported as non-applicable.</li> </ul>

## Notes

C / LC / MNC / NC	<ul style="list-style-type: none"> <li>• <b>Basel III:</b> Regulatory Consistency Assessment Program (RCAP) – assessed “compliant” (C), “largely compliant” (LC), “materially non-compliant” (MNC) and “non-compliant” (NC) with Basel III rules. For the RCAP compliance scale, see <a href="http://www.bis.org/publ/bcbs264.pdf">http://www.bis.org/publ/bcbs264.pdf</a>.</li> </ul>
R / D / F	<ul style="list-style-type: none"> <li>• <b>OTC derivatives:</b> Existence of legal barriers to domestic participants’ reporting to trade repositories (TRs) for which cure or mitigant is not available (R); access to domestic TR data by domestic authorities other than the primary authority is not permitted, or is permitted with material conditions (D); neither direct nor indirect access to domestic TR data by foreign authorities is permitted, or is permitted only with material conditions (F). See the <a href="#">OTC derivatives trade reporting review report</a>.</li> </ul>
&	<ul style="list-style-type: none"> <li>• <b>Basel III:</b> The updated status of D-SIB implementation for Brazil is based on self-reporting since the October 2015 Basel Committee progress report, and will be reviewed in the next Committee update.</li> </ul>
#	<ul style="list-style-type: none"> <li>• <b>Resolution:</b> The table assumes that all FSB jurisdictions that are EU Member States have already implemented the provisions of the Bank Recovery and Resolution Directive and (as appropriate) of the Single Resolution Mechanism.</li> </ul>
*	<ul style="list-style-type: none"> <li>• <b>Shadow banking:</b> Implementation is more advanced than the overall rating in one or more elements of at least one reform area (MMFs), or in one or more sectors of the market (securitisation).</li> </ul>
**	<ul style="list-style-type: none"> <li>• <b>Shadow banking:</b> Implementation is more advanced than the overall rating in all elements of at least one reform area (MMFs), or in all sectors of the market of at least one reform area (securitisation).</li> </ul>